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J1036 U.S. PTO
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|--|--|---|------------------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. 7808.836 First Named Inventor See attached list of inventors Title AN INTERNET PROTOCOL INTRANET NETWORK SYSTEM AND METHOD FOR A FINANCIAL INSTITUTION Express Mail Label No. EL493158384US | |
| APPLICATION ELEMENTS See MPEP chapter 1500 concerning design patent application contents | | ADDRESS TO: Box Patent Application Assistant Commissioner for Patents Washington, DC 20231 | |
| 1. <input checked="" type="checkbox"/> Fee Transmission Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 3. <input checked="" type="checkbox"/> Specification [Total Pages 32] (preferred arrangement set forth below, MPEP 1503.01) - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer - Program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawings (37 CFR 1.152) [Total Sheets 9] 5. Oath or Declaration [Total Pages] a. <input checked="" type="checkbox"/> Declaration of Inventors to be filed subsequently. b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies | |
| ACCOMPANYING APPLICATION PARTS | | | |
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: Check in the amount of \$1,048.00. | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. _____ / _____ Prior application information: Examiner _____ Group Art Unit: _____ | | | |
| For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a reference is inadvertently omitted from the submitted application parts. | | | |
| 19. CORRESPONDENCE ADDRESS | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label <input type="checkbox"/> (Insert Customer No. or Attach bar code label here) 27128 or <input checked="" type="checkbox"/> Correspondence address below | | | |
| Name | Mark E. Stallion 720 Olive Street 24 th Floor | | |
| Address | PATENT TRADEMARK OFFICE | | |
| City | St. Louis | State | Missouri |
| Country | United States | Telephone | Zip Code 63101 Fax 314-345-6060 |
| Name (Print/Type) Mark E. Stallion | | Registration No. (Attorney/Agent) | 46,123 |
| Signature | | Date Jul 09, 01 | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

SUPPLEMENTAL UTILITY PATENT APPLICATION TRANSMITTAL

LIST OF INVENTORS

| <u>Given Name (first and middle [if any])</u> | <u>Family or Surname</u> |
|---|--------------------------|
| Thomas A. | Knight |
| Shelly S. | Biddlecombe |
| Stacy L. | Braden |
| Rick | Devault |
| Kevin O. | Dillon |
| Katherine S. | Wright |
| Joel E. | Verwers |
| David | Young |
| Kevin | Lieurance |
| Bruce | Molay |
| Chad | Nelson |
| Rosalind E. | Phillips |
| Paul | Rasmussen |
| John | Selzer |
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| Trenton D. | Madden |
| Catherine L. | Chilton |
| Michelle Y. | Ahrens |
| Margaret | Shaft |

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FEE TRANSMITTAL
for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$1,048.00)

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | Unknown |
| Filing Date | Herewith |
| First Named Inventor | Knight, Thomas A. |
| Examiner Name | Unknown |
| Group Art Unit | Unknown |
| Attorney Docket No. | 7808.836 |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **11-0160**Deposit Account Name **BLACKWELL SANDERS PEPPER MARTIN LLP**

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27.

2. Payment Enclosed:

Check Credit card Money Other Order

FEES CALCULATION**1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Fee | Small Entity Fee Code (\$) | Fee | Fee Description | Fee Paid |
|----------------------------|-----|----------------------------|-----|------------------------|----------|
| 101 | 710 | 201 | 355 | Utility filing fee | 710 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | (\$710.00) | | | |

2. EXTRA CLAIM FEES

| Total Claims | 21 | -20** = | 1 | Extra Claims | Fee from below | Fee Paid |
|--------------------|----|---------|---|--------------|----------------|----------|
| Independent Claims | 7 | -3** = | 4 | x 80 | = 320 | |

** or number previously paid, if greater. For Reissues, see below

| Large Entity Fee Code (\$) | Fee | Small Entity Fee Code (\$) | Fee | Fee Description | | |
|----------------------------|-----|----------------------------|-----|--|--|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 | | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | | |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid | | |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent | | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | | |
| SUBTOTAL 2 | | (\$338.00) | | | | |

** or number previously paid, if greater. For Reissues, see above

FEES CALCULATION (continued)

| 3 ADDITIONAL FEES | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Entity Fee | Fee Description | Fee Paid |
|----------------------------|----------------------------|----------------------------|------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - last filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | |
| Other fee (specify) | | | | | |
| SUBTOTAL (3) | | | | | |

*Reduced by Basic Filing Fee Paid

(\$1,048.00)

SUBMITTED BY**Complete (if applicable)**

| | | | | | |
|-------------------|---|-----------------------------------|-------------------|-----------|----------------|
| Name (Print/Type) | Mark E. Stallion | Registration No. (Attorney/Agent) | 46,123 | Telephone | (314) 345-6000 |
| Signature |  | Date | <i>Jul 09, 01</i> | | |